

## Disclosure Log

### Freedom of Information Act 2000 & Environmental Information Regulations 2004

No.	Response Date	Reference	Legislation Applied	Request	Response
1.	05/07/2021	F196	FOI	Copy of a paper presented to TAG on 15 September 2020.	The information contained within the paper referred to, was created and collated in confidence as part of discussion in a Technical Advisory Group (TAG) meetings. The purpose of TAG is to provide technical support to the Endorsement Board secretariat in developing advice, guidance and policy in connection with specific matters. The TAG is an advisory group rather than a decision-making body and its advice will form one element only of the evidence considered by the UK Endorsement Board (UKEB). All members of TAG agree in advance to rules surrounding confidentiality and it remains a condition of involvement that the matters discussed within sessions are kept confidential between participants. There is a strong possibility that if the information were to be released publicly, members of TAG would refuse to take part in future activities or would minimise their involvement for fear of matters they discuss during meetings later becoming public. If this were to happen, it would reduce the efficacy of UKEB-stakeholder engagement and may later have a negative effect on UKEB decision-making. We consider that section 31 (law enforcement) of the Act applies. In addition, a response under the Act is considered to be a response to the world at large, not just to the individual who has requested the information. As some of the information was received from attendees (rather than

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					<p>created by the UKEB), we consider that section 41 (Information provided in confidence) of the Act applies.</p> <p>A detailed explanation of why these exemptions apply was provided in an annex.</p>
2.	19/10/2021	F210	FOI	<p>Please supply me with information about email contact between (named individual), a member of the UK Endorsement Board, and other members of the UK Endorsement Board and its staff as well as any external parties such as external legal advisors or colleagues at (named organisation) on any matter since 1 May 2021.</p> <p>Clearly, this is a request for 'recorded information' and as such would include inter alia emails, notes, records of telephone calls, briefings and reports.</p> <p>The simplest way to comply with this request would be to supply copies of any email sent or received by (named individual) whether through their UKEB email address or any other email address such as a personal email account or their (named organisation) email account.</p>	<p>Looking at your request, whilst it may be possible that we hold information falling within scope, to establish whether we hold the information will exceed the cost limit.</p> <p>To carry out the exercise of identifying all relevant information within scope would exceed the cost limit provided for in the Freedom of Information and Data Protection (Appropriate Limit and Fees) Regulations 2004, therefore Section 12 (Cost of compliance exceeds appropriate limit) of the Act applies. Further information on the application of this exemption was provided in an annex.</p> <p>Please note that in reaching the conclusion that your request exceeds the appropriate cost limit, we have not considered whether any other exemptions under the Act apply.</p> <p>As our policy is not to divert our resources from our functions in order to meet requests under the Act in excess of the cost limit, we will not carry out an exercise to identify the information you have requested.</p>
3.	19/10/2021	F212	FOI	<p>Please supply me with information about any technical assessment prepared for the UK Endorsement Board (the body now responsible for endorsing IFRS accounting standards post-Brexit for use in the UK) about IFRS 17, Insurance Contracts, including its disclosure requirements.</p>	<p>Looking at your request, whilst it may be possible that we hold information falling within scope, to establish whether we hold the information will exceed the cost limit.</p> <p>To carry out the exercise of identifying all relevant information within scope would exceed the cost limit provided for in the Freedom of Information and Data</p>

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				Clearly, this is a request for 'recorded information' and as such includes inter alia emails, notes, records of telephone calls, briefings and reports, but specifically any technical analysis document or report.	<p>Protection (Appropriate Limit and Fees) Regulations 2004, therefore Section 12 (Cost of compliance exceeds appropriate limit) of the Act applies. Further information on the application of this exemption was provided in an annex.</p> <p>Please note that in reaching the conclusion that your request exceeds the appropriate cost limit, we have not considered whether any other exemptions under the Act apply.</p> <p>As our policy is not to divert our resources from our functions in order to meet requests under the Act in excess of the cost limit, we will not carry out an exercise to identify the information you have requested.</p>
4.	08/12/2021	F216	FOI	<p>All correspondence, and records of meetings, concerning the capital maintenance requirements of company law including any representations and preparation for representations in connection with the consultation on the BEIS 2021 White Paper on Audit.</p> <p>The period covered by this request is from 1 January 2021 to 15 October 2021.</p> <p>"Correspondence" means, all internal and external letters, memoranda, notes of telephone conversations, e-mails and handwritten notes.</p> <p>"Records of meetings" means records in whatever form.</p> <p>"Company law" means the requirements of the Companies Act 2006 and common law.</p>	<p>The UK Endorsement Board ("UKEB") confirms that it holds some information within the scope of the request.</p> <p>However, some information is being withheld because it is considered exempt pursuant to section 36(2)(b) of FOIA, and section 40 of FOIA. A detailed explanation of how these exemptions apply was provided in an annex, together with some emails and documents. Some of the information in the emails and documents was redacted because it was outside the scope of your request.</p>

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5.	21/12/2021	F225	FOI	<p>I make the following request under the Freedom of Information Act upon the unincorporated UK Endorsement Board.</p> <p>All correspondence, and records of meetings, concerning the procurement or potential procurement of legal advice from any member of (named organisation), including (named individuals).</p> <p>The period covered by this request is from 1 April 2021 to date, 25 November 2021.</p> <p>“Correspondence” means, all internal and external letters, memoranda, notes of telephone conversations, e-mails and handwritten notes.</p> <p>“Records of meetings” means records in whatever form.</p>	<p>The UK Endorsement Board (UKEB) confirms that it holds information within the scope of your request. However, we are withholding this information because it is considered exempt pursuant to s.40, s.42 and s.43 of FOIA. An explanation of how these exemptions apply was provided in an annex.</p>
6.	11/07/2022	F244	FOI	<p>A copy of the Service Level Agreement between the Financial Reporting Council and UK Accounting Standards Endorsement Board Limited.</p>	<p>The UK Endorsement Board (UKEB) confirms that it is party to a Service Level Agreement (SLA) with the FRC.</p> <p>Redactions have been applied to parts of schedule 4. These redactions have been applied as we consider that section 43 (Commercial Interests) of the Act applies as disclosure of the redacted paragraphs would be likely to prejudice the commercial interests of the Financial Reporting Council (FRC).</p> <p>Redactions have been applied to remove personal information concerning UKEB staff and other individuals. Therefore, we consider that section 40 (Personal data) of the Act applies. An explanation of how these exemptions apply was provided in an annex.</p>


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7.	23/09/2022	F252	FOI	<p>I would like to make a request for the following information relating to the authority's current Multi-Functional Devices and printing/scanning services contract(s)</p> <ol style="list-style-type: none"> <li>1. What services are included in the contract(s)? (e.g. printing vs scanning etc)</li> <li>2. Which supplier is delivering them? (If in-house, please confirm or if multiple provider please identify them)</li> <li>3. How many contracts does this entail and what's the award value for each?</li> <li>4. When do these contracts expire and do they have any extensions?</li> <li>5. What is the annual volumetric data (split by Annual Mono and Annual Colour print)?</li> <li>6. What is the total number of devices supplied?</li> <li>7. What Managed Print Service software solution do you use?</li> <li>8. How many Mono MFDs and Colour MFDs do you have?</li> <li>9. What document management solution do you use?</li> <li>10. What High Volume printing devices do you use?</li> <li>11. Were any framework agreements used to procure the goods/services? If so, which ones?</li> <li>12. Any documentation you can provide me with, e.g. the order form</li> <li>13. What department is managing the contract and who's the decision-maker?</li> <li>14. How many Adobe Acrobat (standard, professional and reader) licenses do you have?</li> </ol>	<ol style="list-style-type: none"> <li>1. The UKEB's printing and scanning services ("services") are provided under one contract.</li> <li>2. ACS Office Systems ("ACS") provides these services to the UKEB.</li> <li>3. All services (including IT services) are provided to the UKEB under the UKEB's Service Level Agreement with the FRC. The FRC has a contract with ACS for the provision of these services, which are priced and covered in one contract. As a result, we do not have the award value for each of the services. We are unable to provide the total award value for our contract with ACS as disclosure would or would be likely to prejudice the commercial interest of ACS, the UKEB and the FRC. Therefore, we are withholding this information under section 43 of FOIA. An explanation of how these exemptions apply was provided in an annex.</li> <li>4. The FRC's contract with ACS is on a rolling basis. Therefore, the contract does not have an expiry date.</li> <li>5. The annual volumetric data for Annual mono print is estimated at 24,000 and for Annual Colour print is estimated at 12,000.</li> <li>6. The UKEB has 2 devices.</li> <li>7. The UKEB uses Papercut as its Managed Print Service software solution.</li> <li>8. The UKEB has 1 Mono MFDs and 1 Colour MFDs.</li> <li>9. The UKEB uses SharePoint as its document management solution.</li> </ol>

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				<p>15. What is the annual cost?            16. When is the renewal date?            17. Who is responsible for the contract?            18. Do you use any other PDF editing tools?</p>	<p>10. The UKEB does not have any High Volume printing devices.</p> <p>11. The FRC did not use a framework agreement to procure its goods and services with ACS.</p> <p>12. We have interpreted “any document” to mean any recorded information we hold in relation to our procurement process with our services provider, ACS. Disclosure of this information would or would be likely to prejudice the commercial interests of ACS, the UKEB and the FRC. Therefore, we are withholding this information under section 43 of FOIA. An explanation of how these exemptions apply was provided in an annex.</p> <p>13. The Head of IT manages the contract with ACS. We are unable to provide the name of the Head of IT as it is information concerning another individual, therefore we consider that section 40 (personal information) of FOIA applies. An explanation of how these exemptions apply was provided in an annex.</p> <p>14. The UKEB uses Adobe Acrobat Reader on all of the UKEB’s computers. This is a free product and is installed on 33 computers.</p> <p>15. Adobe Acrobat Reader is a free product.</p> <p>16. There is no renewal date.</p> <p>17. Please refer to our response to question 15.</p> <p>18. The UKEB uses Kofax Power PDF.</p>
8.	10/10/2022	F254	FOI	Please can your organisation provide in Excel CSV format, the following information:	We have provided the information we hold in the format requested in a separate attachment.

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				<p>a) The number of roles in your association (expressed in numbers of FTE), that are mainly or exclusively focussed on issues of equality, diversity, or inclusivity. For example, this could include (amongst other guises) “EDI officers” or “diversity and inclusion project managers” but would not include general HR managers.</p> <p>b) Either a) the pay band of each of these roles, or b) the combined total salaries for these roles. Whichever measure is more in accordance with your data preferences.</p> <p>c) In the past 12 months the number of staff days across your organisation which have been committed to attending equality training programmes, whether internally run or with external consultants. (staff days = duration of the training programme multiplied by the number of staff in attendance for the course).</p> <p>d) The contractual cost of any consultants hired, in the past twelve months, to provide any external training or advice on issues of diversity, equality, or inclusivity.</p> <p>e) In the past twelve months, the number of staff days committed to attending conferences relating mainly or exclusively to matters of Equality, Diversity and Inclusion. (duration of conference multiplied by the number of staff in attendance).</p> <p>f) The costs of attending these conferences.</p> <p>g) Membership costs the organisation pays for participation in equality charters such as the</p>	<p>We have withheld the pay band of the role as it contains personal information concerning another individual. Therefore, we consider that section 40 (Personal data) of FOIA applies.</p> <p>Further information on the application of this exemption is set out in an annex.</p> <p>Please note: The FRC provides the UKEB with diversity and inclusion services. UKEB staff are FRC employees who are seconded to support the work of the UKEB. As such, they have access to the FRCs diversity and inclusion provisions, including training.</p> <p>As a result, it may be useful to refer to the equivalent information on diversity and inclusion in relation to the FRC. For this reason, data for the FRC is included in the attachment issued.</p>

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				Stonewall Equality Champions, or Diversity and Inclusion Workplace champions.	
9.	01/11/2022	F258	FOI	<p>I would be most grateful if you would provide me, under the Freedom of Information Act, the information requested below.</p> <p>The details we require are:</p> <ol style="list-style-type: none"> <li>1. Who is your social media management and monitoring tool supplier?</li> <li>2. Start date &amp; duration of framework/contract with the supplier</li> <li>3. Actual spend on this contract/framework (and any sub lots), from the start of the contract to the current date</li> <li>4. Who is the senior officer (outside of procurement) responsible for this contract?</li> </ol>	The UK Endorsement Board does not currently have a social media management and monitoring tool supplier. Therefore we do not hold the information you have requested.
10.	13/01/2023	F261	FOI	<p>All correspondence, and records of meetings, with, or which refer to mention or cite Martin Moore QC (or as now KC).</p> <p>The period covered by this request is from 1 April 2020 to date, 1 December 2022.</p> <p>“Correspondence” means, all internal and external letters, memoranda, notes of telephone conversations, e-mails and handwritten notes.</p> <p>“Records of meetings” means records in whatever form.</p>	<p>Looking at your request, while it may be possible that we hold information falling within scope, establishing whether we hold the information will exceed the cost limit.</p> <p>To carry out the exercise of identifying all relevant information within scope would exceed the cost limit provided for in the Freedom of Information and Data Protection (Appropriate Limit and Fees) Regulations 2004 (‘the Regulations’) made by the Ministry of Justice. Therefore, section 12 (Cost of compliance exceeds appropriate limit) of the FOIA applies. Further information on the application of this exemption is set out in an annex.</p>



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					<p>Please note that in reaching the conclusion that your request exceeds the appropriate cost limit, we have not considered whether any other exemptions under the FOIA apply.</p> <p>As our policy is not to divert our resources from our regulatory functions in order to meet requests under the FOIA in excess of the cost limit, we will not carry out an exercise to identify the information you have requested.</p>
11.	20/03/2023	EBF267	FOI	<p>I am writing to make an open government request for all the information to which I am entitled under the Freedom of Information Act 2000.</p> <p>Please provide a list of any and all contact your organisation and/or staff have had with British American Tobacco, Imperial Brands, Japan Tobacco International, Philip Morris International, as well as any other domestic or transnational tobacco companies or anyone representing the tobacco industry including subsidiaries of tobacco industry.</p> <p>I would like this information for the period from 1 April 2021 to 20 February 2023.</p> <p>Contact would primarily include attending or arranging meetings or functions, and responding to correspondence or phone calls.</p> <p>Please break down the information by:</p> <ul style="list-style-type: none"> <li>• Tobacco company or representative's name</li> <li>• Date of contact(s)</li> </ul>	<p>The requested information has been provided in an appendix.</p> <p>Available here: </p>

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				<ul style="list-style-type: none"> <li>Type of contact (meeting, email, letter, phone call, text/app message or video call, e-card or any other form of electronic communication)</li> <li>Place of contact, if relevant</li> <li>Purpose of contact</li> <li>Outcome of contact, including if no action taken</li> </ul>	
12.	04/08/2023	EBF276	FOI	<p>Please can you provide me with information concerning the maintenance of your corporate estate i.e. operational buildings, land and any other property (e.g. investment) and schools, if they are within your jurisdiction. Not any social housing/dwellings.</p> <p>Q1. What type of maintenance management model does your organisation use? E.g. Managed supply-chain, single hard-fm &amp; soft-fm contractor, internal workforce, principal contractor etc.</p> <p>Q2. Can you provide a list of the approved contractors used?</p> <p>Q3. What are the total values of contracts granted?</p> <p>Q4. When do these contracts expire?</p> <p>Q5. What services are provided in each contract?</p> <p>Q6. What procurement method was used? E.g. Open ITT, Framework if so, which one?</p>	<p>UKEB does not have a corporate estate, rather it has an allocated workspace within an office building managed by the Government Property Agency.</p> <p>Accordingly, the UKEB does not hold this information.</p>
13.	09/01/2024	EB296	FOI	<p>I wish to make an FOI request. Please provide me with the following information for the financial years 2020/21, 2021/22, 2022/23.</p>	<p>We have interpreted “translation, interpretation and language services” to mean services relating to the translation of one language into another language.</p>

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				<p>All expenditure made by your organisation, in every facet of its operations and purview, on translation, interpretation, and language services.</p> <p>Please provide the aggregated total spent by your organisation and then, if possible, please provide this broken down by particular function for which the service was carried out.</p>	<p>The UKEB has not incurred any costs on translation, interpretation, or language services.</p>