



# Stocks and Shares ISA Transfer Form

This form should only be used for transferring existing Stocks and Shares ISAs to Newcastle Building Society.  
(To be completed in conjunction with Cash ISA Customer Acceptance Form)

## INFORMATION ABOUT YOU

Newcastle Building Society Ref No.  (If you have applied for this account online, please provide your application reference number).

Title  First Name(s)  Surname

Date of Birth  National Insurance Number

Permanent Residential Address

Postcode  Contact Telephone Number (inc area code)

## INFORMATION ABOUT THE STOCKS AND SHARES ISA YOU WANT TO TRANSFER

Name of existing ISA Provider

Address of existing ISA provider

Postcode

ISA Account Number/Reference

### Please Note

As well as being subject to the terms and conditions of the Cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your stocks and shares ISA. For example:

Some stocks and shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, e.g. when deciding which investments are sold to facilitate the transfer payments of fees.

If you are not sure what conditions apply please check with your existing stocks and shares ISA provider before completing this form.

**Q1.** Do you want to transfer all or part of your ISA? tick here  All  Part  
If you answered 'All' to question 1 this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to your new provider in its entirety.

**Q2.** Have you subscribed to this stocks and shares ISA in the current tax year? tick here  Yes  No  
If you answered 'All' to question 1, please go directly to the Transfer Authority section below.  
If you answered 'Part' to question 1, please answer questions 3 – 5 below.

## PARTIAL TRANSFERS

**Q3.** If you answered yes to Q2 do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)? tick here  Yes  No

Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.

**Q4.** If you only want to transfer your subscriptions from the current tax year, tick here

### OR

If you only want to transfer all of your subscriptions from previous tax years, tick here

### OR

If you only want to transfer some of your subscriptions from previous tax years (as well as investments relating to current year subs if you have answered Yes to Q3) tick here  and provide sale instructions by answering Q5.

## Q5. STOCKS, SHARES AND/OR FUNDS THAT YOU WISH TO SELL

Please check your selection is permitted by your existing stocks and shares ISA provider prior to submitting the form. Please note that you may be contacted by your existing ISA provider to agree what to sell.

Please use the box below to give instructions to your current stocks and shares ISA provider with regard to what specific value or Tax Year(s) should be transferred and/or what investments should be realised to meet the transfer.

If needed, please list all investments that you hold with the above ISA provider that you wish to sell and transfer the proceeds to your new Cash ISA with Newcastle Building Society. Alternatively, you may provide this information by supplying a separate list, e.g. by annotating a valuation statement from your provider.

(cont overleaf).

